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中 信 銀 行 股 份 有 限 公 司  
**China CITIC Bank Corporation Limited**

*(A joint stock limited company incorporated in the People's Republic of China with limited liability)*  
(Stock Code: 998)

**ANNOUNCEMENT**  
**PROPOSED AMENDMENTS TO THE RULES OF PROCEDURES OF**  
**THE BOARD OF SUPERVISORS**

China CITIC Bank Corporation Limited (the “**Bank**”) is pleased to announce the passing of the resolution of, among others, the amendments to the Rules of Procedures of the Board of Supervisors of China CITIC Bank Corporation Limited (the “**Rules of Procedures of The Board of Supervisors**”) at the meeting of the Board of Supervisors convened on 17 October 2019. The details of the above-mentioned resolution is as follows:

In order to further give play to the supervisory function of the Board of Supervisors of the Bank, meet the new requirements of the supervisory authorities on the work of the Board of Supervisors under the new circumstances, in the light of the actual work of the Bank and with the reference to the beneficial practices in the industry, the Bank proposes to make certain amendments to the Rules of Procedures of The Board of Supervisors.

Details regarding the proposed amendments are set out in Appendix of this announcement.

The proposed amendments to the Rules of Procedures of The Board of Supervisors are subject to the approval by the shareholders' general meeting of the Bank. A circular containing the details of the proposed amendments to the Rules of Procedures of The Board of Supervisors will be dispatched to the shareholders of the Bank in due course.

By Order of the Board of  
**China CITIC Bank Corporation Limited**  
**Li Qingping**  
*Chairperson*

Beijing, the PRC  
17 October 2019

*As at the date of this announcement, the executive directors of the Bank are Ms. Li Qingping (Chairperson), Mr. Fang Heying (President) and Mr. Guo Danghuai; the non-executive directors are Mr. Cao Guoqiang, Ms. Huang Fang and Mr. Wan Liming; and the independent non-executive directors are Mr. He Cao, Ms. Chen Lihua, Mr. Qian Jun and Mr. Yan Lap Kei Isaac.*

## APPENDIX

### Proposed Amendments to the Rules of Procedures of the Board of Supervisors of China CITIC Bank Corporation Limited

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
1.	<p><b>Article 1</b> To ensure that the board of supervisors (hereinafter the “Board of Supervisors”) of China CITIC Bank Corporation Ltd. (hereinafter the “Bank”) can exercise its supervisory authority independently in accordance with laws, ensure an efficient and standard operation and a scientific decision-making of the Board of Supervisors, perfect the corporate governance of the Bank, and promote the Bank’s prudent operation and healthy development, the Rules of Procedures of the Board of Supervisors (hereinafter the “Rules of Procedures”) are hereby formulated in accordance with pertinent laws and administrative regulations including <i>the Company Law of the People’s Republic of China</i> (hereinafter the “Company Law”), <i>the Commercial Banking Law of People’s Republic of China</i> (hereinafter the “Commercial Bank Law”), <i>the Guidelines on Corporate Governance of Commercial Banks</i>, <i>the Guidelines for the Board of Supervisors of Commercial Banks</i>, and <i>Articles of Association of China CITIC Bank Corporation Limited</i> (hereinafter the “Articles of Association”), taking into consideration the reality of the Bank.</p>	<p><b>Article 1</b> To ensure that the board of supervisors (hereinafter the “Board of Supervisors”) of China CITIC Bank Corporation Ltd. (hereinafter the “Bank”) can exercise its supervisory authority independently in accordance with laws, ensure an efficient and standard operation and a scientific decision-making of the Board of Supervisors, perfect the corporate governance of the Bank, and promote the Bank’s prudent operation and healthy development, the Rules of Procedure of the Board of Supervisors (hereinafter the “Rules of Procedure”) are hereby formulated in accordance with pertinent laws and administrative regulations including <i>the Company Law of the People’s Republic of China</i> (hereinafter the “Company Law”), <del><i>the Commercial Banking Law of People’s Republic of China</i></del> (hereinafter the “<del>Commercial Bank Law</del>”), <u><i>the Code of Corporate Governance for Listed Companies</i></u>, <i>the Guidelines on Corporate Governance of Commercial Banks</i>, <i>the Guidelines for the Board of Supervisors of Commercial Banks</i>, and <i>Articles of Association of China CITIC Bank Corporation Limited</i> (hereinafter the “Articles of Association”), taking into consideration the reality of the Bank.</p>	<p><b>Article 1</b> To ensure that the board of supervisors (hereinafter the “Board of Supervisors”) of China CITIC Bank Corporation Ltd. (hereinafter the “Bank”) can exercise its supervisory authority independently in accordance with laws, ensure an efficient and standard operation and a scientific decision-making of the Board of Supervisors, perfect the corporate governance of the Bank, and promote the Bank’s prudent operation and healthy development, the Rules of Procedure of the Board of Supervisors (hereinafter the “Rules of Procedure”) are hereby formulated in accordance with pertinent laws and administrative regulations including <i>the Company Law of the People’s Republic of China</i> (hereinafter the “Company Law”), <i>the Code of Corporate Governance for Listed Companies</i>, <i>the Guidelines on Corporate Governance of Commercial Banks</i>, <i>the Guidelines for the Board of Supervisors of Commercial Banks</i>, and <i>Articles of Association of China CITIC Bank Corporation Limited</i> (hereinafter the “Articles of Association”), taking into consideration the reality of the Bank.</p>	<p><i>The Code of Corporate Governance for Listed Companies</i> (revised in 2018) is supplemented as the legal basis of this policy.</p>

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
2.	<b>Article 2</b> The Board of Supervisors, the internal supervisory organ of the Bank, is accountable to the shareholders' general meeting. The Board of Supervisors supervises the performance of functions and duties of care of the Bank's financial personnel, the Board of Directors and its members, and senior management including the President of the Bank to prevent them from abusing the powers, so as to safeguard the legitimate rights and interests of shareholders.	<b>Article 2</b> The Board of Supervisors, the internal supervisory organ of the Bank, is accountable to the shareholders' general meeting. <del>The Board of Supervisors supervises the performance of functions and duties of care of the Bank's financial personnel, the Board of Directors and its members, and senior management including the President of the Bank to prevent them from abusing the powers, so as to safeguard the legitimate rights and interests of shareholders.</del> <u>The Board of Supervisors supervises the performance of functions and duties, financial activities, internal control and risk management of the Board of Directors and the Senior Management including their members to safeguard the legitimate rights and interests of the Bank, shareholders, employees, creditors and other stakeholders.</u>	<b>Article 2</b> The Board of Supervisors, the internal supervisory organ of the Bank, is accountable to the shareholders' general meeting. The Board of Supervisors supervises the performance of functions and duties, financial activities, internal control and risk management of the Board of Directors and the Senior Management including their members to safeguard the legitimate rights and interests of the Bank, shareholders, employees, creditors and other stakeholders.	Supplemented and refined in accordance with relevant contents in Article 32 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> and Article 3 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i> .

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
3.	<p><b>Article 5</b> The election, discharge and removal of shareholder representative supervisors and external supervisors shall be decided by the shareholders' general meeting; employee representative supervisors shall be elected, discharged and removed through a democratic process by employees of the Bank.</p> <p>Directors, President and other senior management of the Bank may not serve concurrently as supervisors.</p> <p>Employee representative supervisors shall be entitled to participate in and formulate rules and regulations concerning interests of employees and shall actively participate and supervise and inspect the implementation of such rules and regulations;</p>	<p><del><b>Article 5-6</b> The election, discharge and removal of shareholder representative supervisors and external supervisors shall be decided by the shareholders' general meeting; employee representative supervisors shall be elected, discharged and removed through a democratic process by employees of the Bank. The shareholder representative supervisors and external supervisors shall be elected, dismissed or replaced by the general meeting, and the employee representative supervisors shall be elected, dismissed and replaced by the employees' congress or other democratic procedures of the Bank.</del></p> <p>Directors, President and other senior management of the Bank may not serve concurrently as supervisors.</p> <p><del>Employee representative supervisors shall be entitled to participate in and formulate rules and regulations concerning interests of employees and shall actively participate and supervise and inspect the implementation of such rules and regulations;</del></p>	<p><b>Article 6</b> The shareholder representative supervisors and external supervisors shall be elected, dismissed or replaced by the general meeting, and the employee representative supervisors shall be elected, dismissed and replaced by the employees' congress or other democratic procedures of the Bank.</p> <p>Directors, President and other senior management of the Bank may not serve concurrently as supervisors.</p>	<p>Supplemented and refined in accordance with relevant contents in Article 7 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i>.</p> <p>In addition, part of the content of this Article is moved to Article 15 of the Chapter of "the Functions and Powers of the Board of Supervisors".</p>
4.	<p><b>Article 8</b> The day-to-day executive body of the Board of Supervisors shall set up a work supporting team together with relevant departments of the Bank to provide support and guarantee for the performance of special committees of the Board of Supervisors such as the Supervision Committee and the Nomination Committee.</p>	<p><del><b>Article 8-9</b> The day-to-day executive body of the Board of Supervisors shall set up a work supporting team form supervisory force</del> together with relevant departments of the Bank to provide support and guarantee for the performance of <del>special committees</del> of the Board of Supervisors <del>such as the Supervision Committee and the Nomination Committee;</del> <u>its special committees.</u></p>	<p><b>Article 9</b> The day-to-day executive body of the Board of Supervisors shall form supervisory force together with relevant departments of the Bank to provide support and guarantee for the performance of the Board of Supervisors and its special committees.</p>	<p>Supplemented and refined in accordance with the actual situation.</p>

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
5.	<p><b>Article 9</b> The Board of Supervisors shall exercise the following functions and powers:</p> <p>(1) To supervise the work conduct and duty performance of directors and senior management.</p> <p>(2) To question the directors and senior managers;</p> <p>(3) To require the directors, chairman, president and other senior managers to correct their actions that harm the interests of the Bank;</p> <p>(4) To propose the removal of or bring a lawsuit against, in accordance with the law, directors and the senior management who violates the laws, administrative regulations, rules, the Articles of Association of the Bank or the resolutions adopted by the shareholders' general meeting;</p> <p>(5) To conduct the audits of departures of directors and senior managers as required;</p> <p>(6) To inspect and supervise the financial activities of the Bank;</p>	<p><b>Article 9—10</b> The Board of Supervisors shall <del>exercise the following functions and powers:</del> <u>pay special attention to the following matters when exercising the functions and powers as stipulated in the Articles of Association:</u></p> <p>(1) To supervise the <del>work</del> <u>selection and engagement procedures of directors; To conduct and a comprehensive evaluation of the duty performance of directors and senior management managers;</u></p> <p>(2) To <del>question—make</del> <u>suggestions, prompts, interviews, inquiries and requests for answers in written or oral form to the directors—Board of Directors and senior managers—Senior Management and their members or other personnel as required;</u></p> <p>(3) To require the <del>directors, chairman, president</del> <u>directors and other</u> senior managers to correct their actions that harm the interests of the Bank; <u>To propose the removal of or bring a lawsuit against, in accordance with the law, directors and the senior management who violates the laws, administrative regulations, rules, the Articles of Association of the Bank or the resolutions adopted by the shareholders' general meeting;</u></p>	<p><b>Article 10</b> The Board of Supervisors shall pay special attention to the following matters when exercising the functions and powers as stipulated in the Articles of Association:</p> <p>(1) To supervise the selection and engagement procedures of directors; To conduct a comprehensive evaluation of the duty performance of directors and senior managers;</p> <p>(2) To make suggestions, prompts, interviews, inquiries and requests for answers in written or oral form to the Board of Directors and Senior Management and their members or other personnel as required;</p> <p>(3) To require the directors and senior managers to correct their actions that harm the interests of the Bank; To propose the removal of or bring a lawsuit against, in accordance with the law, directors and the senior management who violates the laws, administrative regulations, rules, the Articles of Association of the Bank or the resolutions adopted by the shareholders' general meeting;</p>	Revised and refined in accordance with Article 32 and Article 34 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> . Meanwhile, some contents are optimized and integrated.

**Proposed Amendments to the Rules of Procedures of the Board of Supervisors**

No.	Current Articles	After revision (with marks)	After revision (clean)	Description
	<p>(7) To review the financial information and periodic reports, including the financial report, business report and profit distribution proposal that are proposed to the shareholders' general meeting by the Board of Directors. If any doubt is identified, the CPA and the executive auditor may be entrusted in the name of the Bank to assist in the review;</p> <p>(8) To audit the operational decisions, the risk management and the internal control of the Bank, and guide and supervise the internal auditing activities of the Bank where necessary;</p> <p>(9) To supervise the establishment and implementation of internal control by the Board of Directors;</p> <p>(10) To raise proposals to the shareholders' general meeting;</p> <p>(11) To propose to convene an extraordinary general meeting of shareholders and to convene and preside over the extraordinary general meeting of shareholders when the Board of Directors fails to perform the duties of convening and presiding over the general meeting of shareholders;</p> <p>(12) To propose to convene interim meetings of the Board of Directors;</p>	<p>(4) <del>To propose the removal of or bring a lawsuit against, in accordance with the law, directors and the senior management who violates the laws, administrative regulations, rules, the Articles of Association of the Bank or the resolutions adopted by the shareholders' general meeting;</del> <u>To Supervise the Board of Directors to establish the prudent business philosophy and value criteria, formulate a development strategy in line with the actual situation of the Bank and assess the scientificity, rationality and effectiveness of the development strategies formulated by the Board of Directors on a regular basis;</u></p> <p>(5) <del>To conduct the audits of departures of directors and senior managers as required;</del> <u>To conduct key supervision over the operational decisions, financial activities, the risk management and the internal control of the Bank and to guide and supervise the activities of the internal audit department of the Bank;</u></p> <p>(6) <del>To inspect and supervise the financial activities of the Bank;</del> <u>To supervise the scientificity and rationality of the salary management system and policies of the Bank as well as the salary plans of senior managers;</u></p>	<p>(4) To Supervise the Board of Directors to establish the prudent business philosophy and value criteria, formulate a development strategy in line with the actual situation of the Bank and assess the scientificity, rationality and effectiveness of the development strategies formulated by the Board of Directors on a regular basis;</p> <p>(5) To conduct key supervision over the operational decisions, financial activities, the risk management and the internal control of the Bank and to guide and supervise the activities of the internal audit department of the Bank;</p> <p>(6) To supervise the scientificity and rationality of the salary management system and policies of the Bank as well as the salary plans of senior managers;</p> <p>(7) To supervise the duty performance of the Board of Directors and the Senior Management in consolidation management, data management, liquidity risk management, risk management for money laundering, employee behavior management, case prevention and other aspects and to urge the remediation;</p>	

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
	<p>(13) To make comments on items such as the quality of credit assets, the ratio of assets to liabilities and risk control in the reports within five working days from the date of receipt of the report submitted by the senior managers to the banking supervision authority of the State Council on a regular basis;</p> <p>(14) To supervise the Board of Directors in assuming the functions and responsibilities for the consolidated management of the Bank;</p> <p>(15) Other functions and powers conferred by the shareholders' general meeting and specified by laws, administrative regulations, rules or provisions of the Articles and Association.</p> <p>When performing the above duties, the Board of Supervisors shall focus on the following matters:</p> <p>(1) Supervising the Board of Directors to establish the prudent business philosophy, value criteria and formulate a development strategy in line with the actual situation of the Bank;</p> <p>ii. To assess the scientificity, rationality and effectiveness of the development strategies formulated by the Board of Directors on a regular basis and form assessment reports;</p>	<p>(7) <del>To review the financial information and periodic reports, including the financial report, business report and profit distribution proposal that are proposed to the shareholders' general meeting by the Board of Directors. If any doubt is identified, the CPA and the executive auditor may be entrusted in the name of the Bank to assist in the review;</del>  <u>To supervise the duty performance of the Board of Directors and the Senior Management in consolidation management, data management, liquidity risk management, risk management for money laundering, employee behavior management, case prevention and other aspects and to urge the remediation;</u></p> <p>(8) <del>To audit the operational decisions, the risk management and the internal control of the Bank, and guide and supervise the internal auditing activities of the Bank where necessary;</del>  <u>To review the financial information and periodic reports, including the financial report and profit distribution proposal that are proposed to the shareholders' general meeting by the Board of Directors. If any doubt is identified, the CPA may be entrusted in the name of the Bank to assist in the review;</u></p>	<p>(8) To review the financial information and periodic reports, including the financial report and profit distribution proposal that are proposed to the shareholders' general meeting by the Board of Directors. If any doubt is identified, the CPA may be entrusted in the name of the Bank to assist in the review;</p> <p>(9) To raise proposals to the shareholders' general meeting;</p> <p>(10) To propose to convene an extraordinary general meeting of shareholders and to convene and preside over the extraordinary general meeting of shareholders when the Board of Directors fails to perform the duties of convening and presiding over the general meeting of shareholders;</p> <p>(11) To propose to convene interim meetings of the Board of Directors;</p> <p>(12) To regularly communicate with the banking supervision authorities about the situation of the Bank;</p> <p>(13) Other functions and powers conferred by the shareholders' general meeting and specified by laws, administrative regulations, rules or provisions of the Articles and Association.</p>	



**Proposed Amendments to the Rules of Procedures of the Board of Supervisors**

No.	Current Articles	After revision (with marks)	After revision (clean)	Description
	<p>(3) To supervise and inspect the operational decisions, risk management and internal control of the bank and to urge the remediation;</p> <p>(4) To Supervise the procedures for selecting and appointing directors;</p> <p>(5) To conduct a comprehensive evaluation of the duty performance of directors, supervisors and senior managers;</p> <p>(6) To supervise the scientificity and rationality of the salary management system and policies of the Bank as well as the salary plans of senior managers;</p> <p>(7) To regularly communicate with the banking supervision authorities about the situation of the Bank.</p> <p>The supervisors may attend the board meeting, and the supervisors attending the meeting may ask questions or provide suggestions on the resolutions of the Board of Directors, but they shall not have the right to vote. The supervisors who sit in on the meetings of the Board of Directors shall report the meeting to the Board of Supervisors.</p>	<p>(9) <del>To supervise the establishment and implementation of internal control by the Board of Directors;</del> <u>To raise proposals to the shareholders' general meeting;</u></p> <p>(10) <del>To raise proposals to the shareholders' general meeting;</del> <u>To propose to convene an extraordinary general meeting of shareholders and to convene and preside over the extraordinary general meeting of shareholders when the Board of Directors fails to perform the duties of convening and presiding over the general meeting of shareholders;</u></p> <p>(11) <del>To propose to convene an extraordinary general meeting of shareholders and to convene and preside over the extraordinary general meeting of shareholders when the Board of Directors fails to perform the duties of convening and presiding over the general meeting of shareholders;</del> <u>To propose to convene interim meetings of the Board of Directors;</u></p> <p>(12) <del>To propose to convene interim meetings of the Board of Directors;</del> <u>To regularly communicate with the banking supervision authorities about the situation of the Bank;</u></p>	<p>The supervisors may attend board meetings, meetings of board special committees and meetings of senior management and shall have the right to ask questions or provide suggestions on the resolutions of the meetings, but they shall not have the right to vote.</p>	

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
	In performing its functions, the Board of Supervisors shall have the power to require the Board of Directors and senior management to provide all necessary information relating to disclosures of information and audits. The Board of Supervisors may designate supervisors to attend meetings of the senior management, if necessary.	<p>(13) <del>To make comments on items such as the quality of credit assets, the ratio of assets to liabilities and risk control in the reports within five working days from the date of receipt of the report submitted by the senior managers to the banking supervision authority of the State Council on a regular basis;</del> <u>Other functions and powers conferred by the shareholders' general meeting and specified by laws, administrative regulations, rules or provisions of the Articles and Association.</u></p> <p>(14) <del>To supervise the Board of Directors in assuming the functions and responsibilities for the consolidated management of the Bank;</del></p> <p>(15) <del>Other functions and powers conferred by the shareholders' general meeting and specified by laws, administrative regulations, rules or provisions of the Articles and Association.</del></p> <p><del>When performing the above duties, the Board of Supervisors shall focus on the following matters:</del></p> <p>(1) <del>Supervising the Board of Directors to establish the prudent business philosophy, value criteria and formulate a development strategy in line with the actual situation of the Bank;</del></p>		

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
		<p>ii. <del>To assess the scientificity, rationality and effectiveness of the development strategies formulated by the Board of Directors on a regular basis and form assessment reports;</del></p> <p>(3) <del>To supervise and inspect the operational decisions, risk management and internal control of the bank and to urge the remediation;</del></p> <p>(4) <del>To Supervise the procedures for selecting and appointing directors;</del></p> <p>(5) <del>To conduct a comprehensive evaluation of the duty performance of directors, supervisors and senior managers;</del></p> <p>(6) <del>To supervise the scientificity and rationality of the salary management system and policies of the Bank as well as the salary plans of senior managers;</del></p> <p>(7) <del>To regularly communicate with the banking supervision authorities about the situation of the Bank.</del></p>		

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
		<p>The supervisors may attend board meetings, <u>meetings of board special committees and meetings of senior management</u> and shall have <u>the right</u> to ask questions or provide suggestions on the resolutions of the meetings, but they shall not have the right to vote. <del>The supervisors who sit in on the meetings of the Board of Directors shall report the meeting to the Board of Supervisors.</del></p> <p><del>In performing its functions, the Board of Supervisors shall have the power to require the Board of Directors and senior management to provide all necessary information relating to disclosures of information and audits. The Board of Supervisors may designate supervisors to attend meetings of the senior management, if necessary.</del></p>		

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
6.	No relevant contents	<p><u>Article 12 In performing its functions, the Board of Supervisors may adopt various methods, such as off-site monitoring, inspection, attendance at meetings, interviews, examination of reports, investigation, questionnaire survey, departure auditing and obtaining assistance by engaging third-party professional organizations, and shall have the power to require the Board of Directors and senior management to provide all necessary information relating to disclosures of information and audits. The Board of Supervisors shall have the right to use all information systems related to operation and management according to the needs of duty performance.</u></p> <p><u>The Board of Supervisors has an independent financial budget and shall have the right to independently control the budget according to the needs of its work. The expenses incurred by the Board of Supervisors in exercising its functions and powers shall be borne by the Bank.</u></p>	<p><b>Article 12</b> In performing its functions, the Board of Supervisors may adopt various methods, such as off-site monitoring, inspection, attendance at meetings, interviews, examination of reports, investigation, questionnaire survey, departure auditing and obtaining assistance by engaging third-party professional organizations, and shall have the power to require the Board of Directors and senior management to provide all necessary information relating to disclosures of information and audits. The Board of Supervisors shall have the right to use all information systems related to operation and management according to the needs of duty performance.</p> <p>The Board of Supervisors has an independent financial budget and shall have the right to independently control the budget according to the needs of its work. The expenses incurred by the Board of Supervisors in exercising its functions and powers shall be borne by the Bank.</p>	Supplemented in accordance with Article 37 and Article 38 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> and Article 13, Article 16 and Article 17 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i> .

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
7.	<b>Article 10</b> Audit results of the internal functional departments and branches of the Bank made by the internal audit department shall be delivered to the Board of Supervisors timely and completely. If the Board of Supervisors has any doubts in relation to the audit results submitted by the internal audit departments, the Board of Supervisors is entitled to require the President or the internal audit department to make explanation.	<del><b>Article 10-13</b></del> Audit results of the internal functional departments and branches of the Bank made by the internal audit department shall be delivered to the Board of Supervisors timely and completely. If the Board of Supervisors has any doubts in relation to the audit results submitted by the internal audit departments, the Board of Supervisors is entitled to require the <del>President</del> <u>Board of Directors, the Senior Management</u> or the internal audit department to make explanation.	<b>Article 13</b> Audit results of the internal functional departments and branches of the Bank made by the internal audit department shall be delivered to the Board of Supervisors timely and completely. If the Board of Supervisors has any doubts in relation to the audit results submitted by the internal audit departments, the Board of Supervisors is entitled to require the Board of Directors, the Senior Management or the internal audit department to make explanation.	Revised in accordance with relevant contents in Article 10 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i> and Article 37 and Article 93 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> .
8.	<b>Article 11</b> In case that the Board of Supervisors finds the inadequate implementation of prudential accounting standards by the Board of Directors and the senior management, or interest rate receivable that has not be strictly calculated, or insufficient bad debts reserves, the Board of Supervisors shall order the Board of Directors and the senior management to rectify.  The Board of Supervisors shall question the Board of Directors or the senior management about the abnormal fluctuation of the Bank's business.  Where the Board of Supervisors find the Board of Directors, the senior management and their members have problems in important financial decision-making and implementation, it shall order them to correct. It may report to regulatory authorities when necessary.	<del><b>Article 11</b></del> In case that the Board of Supervisors finds the inadequate implementation of prudential accounting standards by the Board of Directors and the senior management, or interest rate receivable that has not be strictly calculated, or insufficient bad debts reserves, the Board of Supervisors shall order the Board of Directors and the senior management to rectify.  <del>The Board of Supervisors shall question the Board of Directors or the senior management about the abnormal fluctuation of the Bank's business.</del>  <del>Where the Board of Supervisors find the Board of Directors, the senior management and their members have problems in important financial decision-making and implementation, it shall order them to correct. It may report to regulatory authorities when necessary.</del>	None	Part of this term shall be incorporated in Article 10 and Article 14 of the revised Rules of Procedures for optimization and integration. Meanwhile, in order to ensure the suitability of the clauses and policies to the greatest extent, some contents have been incorporated into the relevant policies such as the Performance Evaluation Methods of the Board of Supervisors.

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
9.	<p><b>Article 13</b> If external supervisors have one of the following cases, it shall be deemed as a serious dereliction of duty:</p> <p>(1) To disclose the trade secrets of the Bank and thus infringe legitimate interests of the Bank;</p> <p>(2) To receive improper benefits during the fulfillment of duties;</p> <p>(3) To take advantage of the position of external supervisors to seek personal gains;</p> <p>(4) To fail to identify the problems that should have been identified in the supervision and inspection or failing to report the identified problems and resulting in material losses to the Bank;</p> <p>(5) Other serious misconduct identified by the banking regulatory agencies of the State Council.</p>	<p><del><b>Article 13</b> If external supervisors have one of the following cases, it shall be deemed as a serious dereliction of duty:</del></p> <p><del>(1) To disclose the trade secrets of the Bank and thus infringe legitimate interests of the Bank;</del></p> <p><del>(2) To receive improper benefits during the fulfillment of duties;</del></p> <p><del>(3) To take advantage of the position of external supervisors to seek personal gains;</del></p> <p><del>(4) To fail to identify the problems that should have been identified in the supervision and inspection or failing to report the identified problems and resulting in material losses to the Bank;</del></p> <p><del>(5) Other serious misconduct identified by the banking regulatory agencies of the State Council.</del></p>	None	<p>This term has been presented in the Bank's latest Articles of Association. Referring to the rules of procedures of peer banks and considering the applicability of this term, it is not necessary to include it in the Rules of Procedures.</p>

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
10.	<p><b>Article 14</b> If independent directors and external supervisors have the following circumstances, the Board of Supervisors is entitled to propose removal to the Rules of Procedures upon deliberation:</p> <p>(1) Serious dereliction of duty;</p> <p>(2) Failing to meet the eligibility criteria for independent directors or external supervisors due to change of position, and the independent director or external supervisor himself does not resign;</p> <p>(3) The number of times of attending in person the meetings of the Board of Directors or the Board of Supervisors is less than two thirds of the total number of meetings of the Board of Directors and the Board of Supervisors, or failing to attend in person the meetings of the Board of Directors or the Board of Supervisors for three consecutive times or for two consecutive times and without entrusting any other independent director or external supervisor to attend.</p> <p>(4) Other cases not suitable to continue to serve as independent directors and external supervisors stipulated by laws, administrative regulations, rules and provisions of the Articles of Association.</p>	<p><del><b>Article 14</b> If independent directors and external supervisors have the following circumstances, the Board of Supervisors is entitled to propose removal to the Rules of Procedures upon deliberation:</del></p> <p><del>(1) Serious dereliction of duty;</del></p> <p><del>(2) Failing to meet the eligibility criteria for independent directors or external supervisors due to change of position, and the independent director or external supervisor himself does not resign;</del></p> <p><del>(3) The number of times of attending in person the meetings of the Board of Directors or the Board of Supervisors is less than two thirds of the total number of meetings of the Board of Directors and the Board of Supervisors, or failing to attend in person the meetings of the Board of Directors or the Board of Supervisors for three consecutive times or for two consecutive times and without entrusting any other independent director or external supervisor to attend.</del></p> <p><del>(4) Other cases not suitable to continue to serve as independent directors and external supervisors stipulated by laws, administrative regulations, rules and provisions of the Articles of Association.</del></p>	None	<p>This term has been presented in the Bank's latest Articles of Association. Referring to the rules of procedures of peer banks and considering the applicability of this term, it is not necessary to include it in the Rules of Procedures. Meanwhile, incorporated part of this term in Article 25 of the revised Rules of Procedures for optimization and integration.</p>



Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
11.	<b>Article 15</b> Evaluation reports of an external supervisor reviewed and discussed by the shareholders' general meeting shall at least include the number of meetings of the Board of Supervisors that the external supervisor attends in person, his organization of or participation in the audit of the Board of Supervisors, and his performance of supervising duties of a supervisor.	<del><b>Article 15</b> Evaluation reports of an external supervisor reviewed and discussed by the shareholders' general meeting shall at least include the number of meetings of the Board of Supervisors that the external supervisor attends in person, his organization of or participation in the audit of the Board of Supervisors, and his performance of supervising duties of a supervisor.</del> <u>The Board of Supervisors shall conduct annual self-evaluation on the work of the Board of Supervisors to evaluate the duty performance of supervisors and report the evaluation results to the shareholders' general meeting.</u>  <u>Employee representative supervisors shall be entitled to participate and formulate rules and regulations concerning interests of employees and shall actively participate, supervise and inspect the implementation of such rules and regulations.</u>	<b>Article 15</b> The Board of Supervisors shall conduct annual self-evaluation on the work of the Board of Supervisors to evaluate the duty performance of supervisors and report the evaluation results to the shareholders' general meeting.  Employee representative supervisors shall be entitled to participate and formulate rules and regulations concerning interests of employees and shall actively participate, supervise and inspect the implementation of such rules and regulations.	Revised and supplemented in accordance with Article 21 and Article 43 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i> and Article 62 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> .
12.	<b>Article 20</b> The regular meetings of the Board of Supervisors shall be held at least once each quarter. The Board of Supervisor shall notify all supervisors in writing ten days prior to such meeting.	<del><b>Article 20—18</b> The regular meetings of the Board of Supervisors shall be held at least once each quarter. The Board of Supervisor shall notify all supervisors in writing ten days prior to such meeting.</del>	<b>Article 18</b> The regular meetings of the Board of Supervisors shall be held at least once each quarter.	Moved relevant contents to Article 21 of the Rules of Procedures.

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
13.	<p><b>Article 21</b> Under one of the following circumstances, the Chairman of the Board of Supervisors shall convene and preside over the interim meeting of the Board of Supervisors within ten days:</p> <p>(1) The Chairman of the Board of Supervisors deems necessary;</p> <p>(2) More than one third of supervisors propose for such a meeting;</p> <p>(3) All of the external supervisors propose for such a meeting.</p> <p>Notification of convening the interim meeting of the Board of Supervisors should be sent to supervisors one day earlier before the meeting.</p>	<p><b>Article <del>21</del>–19</b> Under one of the following circumstances, the Chairman of the Board of Supervisors shall convene and preside over the interim meeting of the Board of Supervisors within ten days:</p> <p>(1) The Chairman of the Board of Supervisors deems necessary;</p> <p>(2) More than one third of supervisors propose for such a meeting;</p> <p>(3) All of the external supervisors propose for such a meeting;</p> <p>(4) <u>Other circumstances provided for in laws, administrative regulations, regulations and the Articles of Association of the Bank.</u></p> <p><del>Notification of convening the interim meeting of the Board of Supervisors should be sent to supervisors one day earlier before the meeting.</del></p>	<p><b>Article 19</b> Under one of the following circumstances, the Chairman of the Board of Supervisors shall convene and preside over the interim meeting of the Board of Supervisors within ten days:</p> <p>(1) The Chairman of the Board of Supervisors deems necessary;</p> <p>(2) More than one third of supervisors propose for such a meeting;</p> <p>(3) All of the external supervisors propose for such a meeting;</p> <p>(4) Other circumstances provided for in laws, administrative regulations, regulations and the Articles of Association of the Bank.</p>	Revised in accordance with the latest Articles of Association and the actual work needs.
14.	<p><b>Article 22</b> Office of the Board of Supervisors is responsible for collecting meeting proposals. For the regular meeting, the person putting forward the proposal shall submit it and relevant background materials 15 days ahead of the meeting. For the interim meeting, the person putting forward the proposal shall submit it and relevant background materials within a reasonable timeframe ahead of the meeting. After collecting all the meeting materials, the Office of the Board of Supervisors shall submit the meeting venue, time and agenda to Chairman of the Board of Supervisors.</p>	<p><b>Article <del>22</del>–20</b> Office of the Board of Supervisors is responsible for collecting <u>matters at the meeting and formulating</u> proposals. For the regular meeting, the person putting forward the proposal shall submit it and relevant background materials <del>15 days</del> ahead of the meeting. For the interim meeting, the person putting forward the proposal shall submit it and relevant background materials within a reasonable timeframe ahead of the meeting. After collecting all the meeting materials, the Office of the Board of Supervisors shall submit the meeting venue, time and agenda to Chairman of the Board of Supervisors.</p>	<p><b>Article 20</b> Office of the Board of Supervisors is responsible for collecting matters at the meeting and formulating proposals. For the regular meeting, the person putting forward the proposal shall submit it and relevant background materials ahead of the meeting. For the interim meeting, the person putting forward the proposal shall submit it and relevant background materials within a reasonable timeframe ahead of the meeting. After collecting all the meeting materials, the Office of the Board of Supervisors shall submit the meeting venue, time and agenda to the Chairman of the Board of Supervisors.</p>	Revised in accordance with the latest Articles of Association and the actual work needs.

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
15.	<b>Article 23</b> Before a meeting of the Board of Supervisors, a written notice shall be given to all supervisors. All supervisors and the persons who shall attend the meeting as non-voting attendees shall be given in advance a notice of meeting. The written notice of meeting given by the Board of Supervisors shall state the location, time, duration, subject matters and topics of the meeting as well as the date of the notice.	<del><b>Article 23</b></del> <del>Before a meeting of the Board of Supervisors, a written notice shall be given to all supervisors.</del> <u>Where a regular meeting of the Board of Supervisors is to be held, the Office of the Board of Supervisors shall deliver a written notice to all supervisors at least ten days in advance. Where an interim meeting of the Board of Supervisors is to be held, the notice of meeting shall be delivered at a reasonable time in advance. In case of emergency where an interim meeting of the Board of Supervisors needs to be convened as soon as possible, the notice of meeting may be given orally or by phone at any time, and the convener shall provide explanations at the meeting and take the minutes of meetings.</u> The written notice of meeting given by the Board of Supervisors shall state the location, time, duration, subject matters and topics of the meeting as well as the date of the notice, etc.	Article 21 Where a regular meeting of the Board of Supervisors is to be held, the Office of the Board of Supervisors shall deliver a written notice to all supervisors at least ten days in advance. Where an interim meeting of the Board of Supervisors is to be held, the notice of meeting shall be delivered at a reasonable time in advance. In case of emergency where an interim meeting of the Board of Supervisors needs to be convened as soon as possible, the notice of meeting may be given orally or by phone at any time, and the convener shall provide explanations at the meeting and take the minutes of meetings. The written notice of meeting given by the Board of Supervisors shall state the location, time, duration, subject matters and topics of the meeting as well as the date of the notice, etc.	Revised in accordance with Article 233, Article 234, Article 235 of the Articles of Association and the actual work needs.

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
16.	<p><b>Article 24</b> The notice on a meeting of the Board of Supervisors shall be sent in accordance with the following requirements and ways:</p> <p>(1) The meeting notice shall be delivered in mail, or other means stipulated in the Articles of Association.</p> <p>(2) In the case the notice is delivered by a courier, the receiver shall sign (or seal) the return sheet of delivery, and the date of the receiver's signing on the notice shall be the date of delivery; in the case the notice is sent out in mail, the date of delivery shall be the 48th hour of the date of delivery to post office</p>	<p><del><b>Article 24-22</b> The notice on a meeting of the Board of Supervisors shall be sent in accordance with the following requirements and ways:</del></p> <p>(+) The meeting notice shall be delivered <u>by a courier, by mail in mail</u> or express delivery, or other means stipulated in the Articles of Association.</p> <p>(2) <del>In the case the notice is delivered by a courier, the receiver shall sign (or seal) the return sheet of delivery, and the date of the receiver's signing on the notice shall be the date of delivery; in the case the notice is sent out in mail, the date of delivery shall be the 48th hour of the date of delivery to post office</del></p>	<p><b>Article 22</b> The meeting notice shall be delivered by a courier, by mail or express delivery, or other means stipulated in the Articles of Association.</p>	Revised in accordance with the actual work needs.
17.	<p><b>Article 27</b> The supervisors shall attend at least two thirds of the meetings of the Board of Supervisors in person each year. Working time of the shareholder representative supervisors and external supervisors for the Bank's supervision shall not be less than 15 days per year.</p>	<p><del><b>Article 27-25</b></del> The supervisors shall attend at least two thirds of the meetings of the Board of Supervisors in person each year. <u>Where a supervisor fails to attend in person or entrust any other supervisor to attend the meetings of the Board of Supervisors for twice in a row, or fails to attend in person at least two thirds of the meetings of the Board of Supervisors, it shall be deemed unable to perform its duties, and the Board of Supervisors shall submit a request to the shareholders' general meeting or recommend the employees' congress to recall it.</u> Working time of the shareholder representative supervisors and external supervisors for the Bank's supervision shall not be less than 15 days per year.</p>	<p><b>Article 25</b> The supervisors shall attend at least two thirds of the meetings of the Board of Supervisors in person each year. Where a supervisor fails to attend in person or entrust any other supervisor to attend the meetings of the Board of Supervisors for twice in a row, or fails to attend in person at least two thirds of the meetings of the Board of Supervisors, it shall be deemed unable to perform its duties, and the Board of Supervisors shall submit a request to the shareholders' general meeting or recommend the employees' congress to recall it. Working time of the shareholder representative supervisors and external supervisors for the Bank's supervision shall not be less than 15 days per year.</p>	Supplemented and revised in accordance with Article 62 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> .

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
18.	<b>Article 28</b> The Board of Supervisors may ask the directors of the Bank, President, other senior managers, and internal and external auditors to attend the meetings of the Board of Supervisors as non-voting attendees, provide necessary explanations on relevant matters, and answer the questions raised by the Board of Supervisors.	<b>Article 28</b> The Board of Supervisors may ask the directors of the Bank, <del>President, other</del> senior managers, and internal and external auditors to attend the meetings of the Board of Supervisors as non-voting attendees, provide necessary explanations on relevant matters, and answer the questions raised by the Board of Supervisors.	<b>Article 26</b> The Board of Supervisors may ask the directors of the Bank, senior managers, and internal and external auditors to attend the meetings of the Board of Supervisors as non-voting attendees, provide necessary explanations on relevant matters, and answer the questions raised by the Board of Supervisors.	Revised the text contents appropriately.
19.	<b>Article 30</b> The chair of the meeting shall announce the open of the meeting and meeting agenda at the prescribed time. All proposals shall be deliberated one after another under the instructions of the meeting host. The host of the meeting shall request the supervisors attending the meeting to provide explicit comments on all proposals.  <b>Article 31</b> The Board of Supervisors shall review and discuss any proposal proposed by any supervisor.	<b>Article 30-28</b> The chair of the meeting shall announce the open of the meeting and meeting agenda at the prescribed time. All proposals shall be deliberated one after another under the instructions of the meeting host. The host of the meeting shall request the supervisors attending the meeting to provide explicit comments on all proposals. <del>Article 31</del> The Board of Supervisors shall review and discuss any proposal proposed by any supervisor.	<b>Article 28</b> The chair of the meeting shall announce the open of the meeting and meeting agenda at the prescribed time. All proposals shall be deliberated one after another under the instructions of the meeting host. The host of the meeting shall request the supervisors attending the meeting to provide explicit comments on all proposals. The Board of Supervisors shall review and discuss any proposal proposed by any supervisor.	Incorporated the previous Article 30 and Article 31 to avoid lengthiness.
20.	No relevant contents	<b>Article 29</b> <u>Meetings of the Board of Supervisors may be held in the form of on-site meetings, teleconferences, video conference and communications decision-making. Communications decision-making is a meeting form in which resolutions on proposals are made by delivering or circulating the proposals respectively for review and discussion.</u>	<b>Article 29</b> Meetings of the Board of Supervisors may be held in the form of on-site meetings, teleconferences, video conference and communications decision-making. Communications decision-making is a meeting form in which resolutions on proposals are made by delivering or circulating the proposals respectively for review and discussion.	Added in accordance with Article 240 of the Articles of Association and the actual work needs.

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
21.	<b>Article 33</b> Resolutions shall be formed for all proposals deliberated. Any resolution shall be approved by more than two thirds of the Board of Supervisors by voting.  ...	<del><b>Article 33—31</b> Resolutions</del> <u>Relevant resolutions and reports of the Board of Supervisors shall be formed for all proposals deliberated.</u> <del>Any resolution shall be</del> approved by more than two thirds of the <u>members of the</u> Board of Supervisors by voting.  ...	<b>Article 31</b> Relevant resolutions and reports of the Board of Supervisors shall be approved by more than two thirds of the members of the Board of Supervisors by voting.  ...	Revised the text contents appropriately.
22.	<b>Article 36</b> Decisions, resolutions and the minutes of meetings of the Board of Supervisors shall be reported to the banking regulatory agencies of the State Council for filing.	<del><b>Article 36—34</b></del> Decisions, resolutions and the minutes of meetings of the Board of Supervisors shall be reported to the banking regulatory agencies <del>of the State Council</del> for filing.	<b>Article 34</b> Decisions, resolutions and the minutes of meetings of the Board of Supervisors shall be reported to the banking regulatory agencies for filing.	Revised the text contents appropriately.
23.	No relevant contents	<del><b>Article 35</b> Resolutions of a meeting of the Board of Supervisors held by the Bank shall be submitted to the stock exchange after the meeting as required by the stock exchange where the stocks of the Bank are listed, and be disclosed after they are registered at the stock exchange. Resolutions of the Board of Supervisors shall be signed and confirmed by the attending supervisors.</del>	<b>Article 35</b> Resolutions of a meeting of the Board of Supervisors held by the Bank shall be submitted to the stock exchange after the meeting as required by the stock exchange where the stocks of the Bank are listed, and be disclosed after they are registered at the stock exchange. Resolutions of the Board of Supervisors shall be signed and confirmed by the attending supervisors.	Supplemented in accordance with Article 48 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i> and relevant requirements of <i>the Rules Governing the Listing of Stocks on Shanghai Stock Exchange</i> .

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
24.	<b>Article 37</b> The Board of Directors shall strictly comply with the information disclosure requirements of relevant regulatory authorities, and shall timely and precisely disclose the proposals and resolutions of the meetings of the Board of Supervisors. Information disclosure regarding significant events shall be filed in relevant regulatory bodies according to relevant requirements.	<del><b>Article 37-36</b> The Board of Directors shall strictly comply with the information disclosure requirements of relevant regulatory authorities, and shall timely and precisely disclose the proposals and resolutions of the meetings of the Board of Supervisors.</del> <u>The Board of Directors shall timely and precisely disclose the proposals and resolutions of the meetings of the Board of Supervisors in accordance with the information disclosure requirements of relevant regulatory authorities.</u> Information disclosure regarding significant events shall be filed with relevant regulatory authorities according to relevant requirements.	<b>Article 36</b> The Board of Directors shall timely and precisely disclose the proposals and resolutions of the meetings of the Board of Supervisors in accordance with the information disclosure requirements of relevant regulatory authorities. Information disclosure regarding significant events shall be filed with relevant regulatory authorities according to relevant requirements.	Revised and supplemented in accordance with Article 48 of <i>the Guidelines for the Board of Supervisors of Commercial Banks</i> and Article 116 of <i>the Guidelines on Corporate Governance of Commercial Banks</i> .
25.	<b>Article 39</b> The Board of Supervisors may pass a resolution and put forward proposals to the Board of Directors and senior managers, and may report to the shareholders' general meeting when necessary.	<del><b>Article 3938</b></del> <b>Article 38</b> The Board of Supervisors may pass a resolution and put forward proposals to the Board of Directors and senior <del>managers</del> management, and may report to the shareholders' general meeting when necessary.	<b>Article 38</b> The Board of Supervisors may pass a resolution and put forward proposals to the Board of Directors and senior management, and may report to the shareholders' general meeting when necessary.	Revised the text contents appropriately.
26.	<b>Article 42</b> Unless specified, terms in the Rules of Procedures shall have the same meanings with terms in the Articles of Association.  <b>Article 46</b> Except otherwise stipulated in these Rules of Procedures or implicating no different understandings in the context, the phrases such as "more than", "within", "not less than" and "prior to" as used in these Rules of Procedures shall also include the number or amount itself, while the phrases such as "in excess of", "less than", "lower than", "other than" and "under" shall not include the number or amount itself.	<del><b>Article 42-41</b></del> Unless specified, terms in the Rules of Procedures shall have the same meanings with terms in the Articles of Association. <del><b>Article 46</b></del> Except otherwise stipulated in these Rules of Procedures or implicating no different understandings in the context, the phrases such as "more than", "within", "not less than" and "prior to" as used in these Rules of Procedures shall also include the number or amount itself, while the phrases such as "in excess of", "less than", "lower than", "other than" and "under" shall not include the number or amount itself.	<b>Article 41</b> Unless specified, terms in the Rules of Procedures shall have the same meanings with terms in the Articles of Association. Except otherwise stipulated in these Rules of Procedures or implicating no different understandings in the context, the phrases such as "more than", "within", "not less than" and "prior to" as used in these Rules of Procedures shall also include the number or amount itself, while the phrases such as "in excess of", "less than", "lower than", "other than" and "under" shall not include the number or amount itself.	Incorporated the previous Article 42 and Article 46 to avoid lengthiness.

Proposed Amendments to the Rules of Procedures of the Board of Supervisors				
No.	Current Articles	After revision (with marks)	After revision (clean)	Description
27.	<b>Article 44</b> Matters not mentioned herein or conflicting with clauses in Articles of Association of the Bank or laws and regulations enacted and revised after the enforcement of the Rules of Procedures should be subject to laws, regulations and the Articles of Association.	<del><b>Article 44</b></del> <del>–42</del> Matters not mentioned herein or <u>rules</u> conflicting with clauses in Articles of Association of the Bank or laws, <del>and</del> regulations and rules <del>enacted and revised after the enforcement of the Rules of Procedures</del> should be subject to laws, regulations, <u>rules</u> and the Articles of Association.	<b>Article 42</b> Matters not mentioned herein or rules conflicting with clauses in Articles of Association of the Bank or laws, regulations and rules should be subject to laws, regulations, rules and the Articles of Association.	Revised the text contents appropriately.
28.	<b>Article 47</b> The Board of Supervisors shall be charged with interpretation of these Rules of Procedures.	<del><b>Article 47</b></del> <del>–43</del> <u>The Board of Supervisors shall be charged with interpretation of these Rules of Procedures.</u>	<b>Article 43</b> The Board of Supervisors shall be charged with interpretation of these Rules of Procedures.	Brought forward the previous Article 47.
29.	<b>Article 43</b> The Rules of Procedures adopted by general resolution of shareholders' general meeting shall be implemented on the same day when the Articles of Association of the Bank take effect.	<del><b>Article 43</b></del> <del>The Rules of Procedures adopted by general resolution of shareholders' general meeting shall be implemented on the same day when the Articles of Association of the Bank take effect.</del>  <del><b>Article 45</b></del> <del>–44</del> <del>The</del> These Rules of Procedures <del>adopted</del> shall be formulated and revised by <del>general resolution</del> the Board of <del>shareholders' general meeting</del> Supervisors, and shall be effective and implemented <del>on</del> after being reviewed and approved by the <del>same day when shareholders' general meeting</del> , and the <del>Articles</del> original <del>Rules of Association</del> <u>Rules of Procedures of the Board of Supervisors of China CITIC Bank</u> take effect.	<b>Article 44</b> These Rules of Procedures shall be formulated and revised by the Board of Supervisors, and shall be effective and implemented after being reviewed and approved by the shareholders' general meeting, and the original <i>Rules of Procedures of the Board of Supervisors of China CITIC Bank Corporation Limited</i> (X. Y. G. ZH [2017] No.370) shall be repealed simultaneously.	Abolished the old policies replaced by the new ones according to <i>the Measures of China CITIC Bank for Policy Management</i> (Version 2.0, 2019).
	<b>Article 45</b> The amendments to this Rules of Procedures approved by supervisors over one half shall be submitted to the shareholders' general meeting and approved by ordinary resolution, and then go to effect.	<del><b>Article 45</b></del> <del>The amendments to this Rules of Procedures approved by supervisors over one half</del> <i>Corporation Limited</i> (X. Y. G. ZH [2017] No.370) shall be <del>submitted to the shareholders' general meeting and approved by ordinary resolution, and then go to effect</del> repealed simultaneously.		
30.	The serial numbers of all articles in this Rules are revised based on the adding and deleting of articles.			Format revision.



*Note:* Amendments to Article 4, 17, 18 and 29 are amendments to Chinese wording which are not relevant in English version, and those articles are not listed in the chart above.