

2.7 托管与账管业务

2.7 Custodian and Account Management

一、资产托管业务

I. Asset Custodian Services

项目编号 Item No.	服务项目 Service Items	服务内容 Service Functions	服务价格 Service Price	适用对象 Targeted Customers	优惠政策 Promotion
TG001	委托资产托管（2022年8月1日前有效） Entrusted Assets (Valid before August 1, 2022)	为各类委托资产（包括但不限于基金公司、证券公司、信托公司、保险公司、期货公司、私募投资基金管理机构等资管机构管理的委托资产，以及交易资金、专项资金、融入资金等特定用途资金或实物）提供账户开立、资产保管、资金清算、核算估值、投资监督、信息披露、多级托管等服务 Provision of account opening, asset custody, fund clearing, accounting valuation, investment supervision, information disclosure and other multi-tier custody services for entrusted assets (including but not limited to entrusted assets managed by fund companies, securities companies, trust companies, insurance companies, futures companies, private equity fund management institutions as well as transaction funds, special funds, injected funds and other specific purpose funds or in kind)	1.委托管理资产托管：不高于托管资产的2%/年，且估值类项目不低于托管资产的0.03%/年； 1. Custody of entrusted assets: No more than 2%/year of entrusted assets, and no less than 0.03%/year of entrusted assets for valuation projects; 2.委托资产多级托管：参照中债登、上清所收费标准执行 2. Multi-tier custody of entrusted assets: Refer to the charging standards of CCDC and Shanghai Clearing House	全部客户 All customers	
TG001	委托资产托管（2022年8月1日起有效） Entrusted Assets (Valid since August 1, 2022)	为各类委托资产（包括但不限于基金公司、证券公司、信托公司、保险公司、期货公司、私募投资基金管理机构等资管机构管理的委托资产，以及交易资金、专项资金、融入资金等特定用途资金或实物）提供账户开立、资产保管、资金清算、核算估值、投资监督、信息披露、多级托管等服务 Provision of account opening, asset custody, fund clearing, accounting valuation, investment supervision, information disclosure and other multi-tier custody services for entrusted assets (including but not limited to entrusted assets managed by fund companies, securities companies, trust companies, insurance companies, futures companies, private equity fund management institutions as well as transaction funds, special funds, injected funds and other specific purpose funds or in kind)	1.基金公司证券投资基金托管：托管资产的0.03%/年-2%/年； 1. Custody of securities investment funds of fund companies: 0.03%/year-2%/year of managed assets; 2.私募投资基金托管：不高于托管资产的2%/年，不低于10000元/年； 2. Custody of private investment funds: not more than 2%/year of managed assets and not less than RMB10,000/year; 3.保险公司管理资产托管：托管资产的0.005%/年-2%/年； 3. Custody of assets managed by insurance companies: 0.005%/year-2%/year of managed assets; 4.商业银行理财托管：托管资产的0.003%/年-2%/年 4. Custody of wealth management of commercial banks: 0.003%/year-2%/year of managed assets; 5.QDII类、QFII类托管（含RQFII托管）：托管资产的0.005%/年-2%/年； 5. QDII and QFII custody (including RQFII custody): 0.005%/year-2%/year of managed assets; 6.其他委托管理资产托管（基金公司、证券公司、信托公司，以及第三方资金监管等）：协议定价，不高于托管资产的2%/年； 6. Custody of other entrusted assets (fund companies, securities companies, trust companies, and third-party fund supervision, etc.): Agreed pricing, not higher than 2%/year of the managed assets; 7.金融资产托管：参照中债登、上清所收费标准执行 7. Custody of financial assets: Implemented with reference to the charging standards of CCDC and Shanghai Clearing House	全部客户 All customers	
TG002	养老金资产托管（2022年8月1日前有效） Pension Fund (Valid before August 1, 2022)	为各类养老金资产（包括但不限于企业年金、职业年金、养老保障产品、福利计划、社保资金等）提供账户开立、资产保管、资金清算、核算估值、投资监督、信息披露等服务 Provide pension funds (including but not limited to enterprise annuity, occupational annuity, old-age security product, benefit plan, social insurance fund, etc.) with account opening, asset custody, fund clearing, accounting valuation, investment supervision, information disclosure and other services	协议定价 Agreed price	全部客户 All customers	
TG002	养老金资产托管（2022年8月1日起有效） Pension Fund (Valid since August 1, 2022)	为各类养老金资产（包括但不限于企业年金、职业年金、养老保障产品、福利计划、社保资金等）提供账户开立、资产保管、资金清算、核算估值、投资监督、信息披露等服务 Provide pension funds (including but not limited to enterprise annuity, occupational annuity, old-age security product, benefit plan, social insurance fund, etc.) with account opening, asset custody, fund clearing, accounting valuation, investment supervision, information disclosure and other services	1.企业年金托管、职业年金托管：协议定价，不高于托管资产的0.2%/年； 1. Enterprise annuity custody and occupational annuity custody: agreed pricing, not higher than 2%/year of the managed assets; 2.福利计划托管、社保基金托管：托管资产的0.005%/年-2%/年 2. Welfare plan custody and social security fund custody: 0.005%/year-2%/year of managed assets	全部客户 All customers	

项目编号 Item No.	服务项目 Service Items	服务内容 Service Functions	服务价格 Service Price	适用对象 Targeted Customers	优惠政策 Promotion
TG003	证券交易资金存管 Custodian service for securities trading fund	为证券公司客户提供证券交易资金存管服务 Provide custodian service for securities trading fund of securities companies	按存管金额的0.05%-1.00%收取 0.05%-1.00% of custody amount	对公客户 Corporate customers	

二、账管业务

II. Account Management Services

项目编号 Item No.	服务项目 Service Items	服务内容 Service Functions	服务价格 Service Price	适用对象 Targeted Customers	优惠政策 Promotion
TG004	养老金账户管理（2022年8月1日前有效） Pension account management(Valid before August 1, 2022)	为客户提供养老金账户管理服务，包括但不限于企业年金、职业年金、养老保障管理产品、个人养老金、福利计划、社保基金等账户管理服务 Manage the pension account for customers, including but not limited to enterprise annuity, occupational annuity, old-age security management product, personal pension fund, benefit plan, social insurance fund and other account management services	以客户养老金资产净值为费用基础的产品，年费率不超过资产净值的5%（包括初始费用、管理费用和退出费用）；以个人账户数为费用基础的产品，收费标准为不超过100元/户/月 5% of net value of pension assets maximum p.a.(including initial charge, management fee and exit expenses) or RMB 100 per month maximum for each personal account	全部客户 All customers	
TG004	养老金账户管理（2022年8月1日起有效） Pension account management (Valid since August 1, 2022)	为客户提供养老金账户管理服务，包括但不限于企业年金、职业年金、养老保障管理产品、个人养老金、福利计划、社保基金等账户管理服务 Manage the pension account for customers, including but not limited to enterprise annuity, occupational annuity, old-age security management product, personal pension fund, benefit plan, social insurance fund and other account management services	1.企业年金账户管理费：协议定价，不高于5元/户/月； 1. Enterprise annuity account management fee: agreed pricing, not higher than RMB 5/account/month; 2.养老金账户管理费：0.8元-100元/户/月或托管资产的0.005%/年-2%/年 2. Pension account management fee: RMB0.8-100/account/month or 0.005%/year-2%/year of net asset value	全部客户 All customers	

三、代保管业务

III. Custody Business

项目编号 Item No.	服务项目 Service Items	服务内容 Service Functions	服务价格 Service Price	适用对象 Targeted Customers	优惠政策 Promotion
TG005	普通代保管业务 Ordinary custody business	受客户委托保管有价单证和有价物品 Take documents and valuable goods under custody	1.委托保管有价单证：按有价单证价值的1%收取，最低10元，最高200元，按年计收； 1. Custody of documents: 1% of value of documents, minimum RMB10, maximum RMB200, charged annually; 2.委托保管有价物品：最低100元，最高500元 2. Custody of valuable goods: minimum RMB100, maximum RMB500	对公客户 Corporate customers	
TG006	保管箱业务 Safe deposit box	为客户提供保管箱租赁、换锁、凿箱等服务 Provide services for customers including leasing, lock replacement and chiseling of safe deposit box	1.租金：5L（含）以下，年租65元/L-75元/L；5L-10L（含），年租55元/L-70元/L；10L-15L（含），年租50元/L-65元/L；15L-40L（含），年租45元/L-60元/L；40L以上，年租30元/L-100元/L；月租按照同类产品年租的15%计，日租按照同类产品年租的1.5%计；各行在价格区间内可根据保管箱购置成本、提供的服务并结合当地同业情况确定； 1. Rent: 5L and below, annual rent of RMB65/L-RMB75/L; 5L-10L (inclusive), annual rent of RMB55/L-RMB70/L; 10L-15L(inclusive), annual rent of RMB50/L-RMB65/L; 15L-40L(inclusive), annual rent of RMB45/L-RMB60/L; above 40L, annual rent of RMB30/L-RMB100/L; monthly rent is 15% of annual rent and daily rent 1.5% of annual rent; branches can adjust the rent within the above range according to the cost of safe deposit box, the services and the condition of other local banks and financial institutions; 2.换锁费500元/把，凿箱费600-2000元/个（含换锁），钥匙赔偿金200元/把，逾期滞纳金年租金×逾期天数×3‰ 2. Fee for lock replacement RMB500 per lock, chiseling fee RMB600 - RMB2,000 per box (including lock replacement), key compensation RMB200 per key, late charge annual rent*overdue days*3%	全部客户 All customers	